

Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York



In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Multiplicity Partners AG

Name of Transferee

Name and Address where notices to transferee should be sent:

Multiplicity Partners AG
Bodmerstrasse 5
8002 Zurich
Switzerland

Phone: +41 44 500 45 50

Last Four Digits of Acct #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

St. Galler Kantonalbank AG

Name of Transferor

Court Claim # (if known): see schedule 6

Date Claim Filed: see schedule 6

Amount of Claim: see schedule 6

Portion of Claim Transferred (see Schedule I): see schedule 6

Phone: +41 71 231 34 13

Last Four Digits of Acct. #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: 20 January 2020

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Andres Hefti, Partner
Multiplicity Partners AG

Thomas Ritter, Partner

Schedule 6

CH0027120770	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 28'460.87
CH0036891395	59233	10/30/2009	Lehman Brothers Securities NV	USD 107'784.56
XS0261032238	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 41'408.53
XS0265627751	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 8'937.44
XS0306179168	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 59'184.01
XS0320322901	59233	10/30/2009	Lehman Brothers Securities NV	USD 86'264.64
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 31'783.68
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 44'497.15
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 6'356.74
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 9'535.10

EVIDENCE OF TRANSFER OF CLAIM

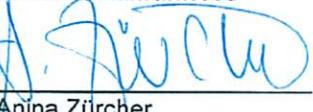
TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **St.Galler Kantonalbank AG**, ("Transferor") unconditionally and irrevocably transferred to **Multiplicity Partners AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**several Claim No. as attached**) of **several USD amounts** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON **January 15, 2020**.

St. Galler Kantonalbank AG

By: 
Name: Anina Zürcher
Title: Member of Management

By: 
Name: Pascal Schmid
Title: Member of Management

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Nominal Amount of Claim related to Security
				Allowed Amount of Claim transferred to Purchaser:
CH0027120770	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 28'460.87
CH0036891395	59233	10/30/2009	Lehman Brothers Securities NV	USD 107'784.56
XS0261032238	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 41'408.53
XS0265627751	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 8'937.44
XS0306179168	59233	10/30/2009	Lehman Brothers Treasury Bv	USD 59'184.01
XS0320322901	59233	10/30/2009	Lehman Brothers Securities NV	USD 86'264.64
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 31'783.68
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 44'497.15
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 6'356.74
XS0324890440	59233	10/30/2009	Lehman Brothers Securities NV	USD 9'535.10